



U.S. Department of Justice

United States Attorney  
Southern District of New York

The Silvio J. Mollo Building  
One Saint Andrew's Plaza  
New York, New York 10007

**MEMO ENDORSED**

October 29, 2018

**BY ECF**

Honorable Valerie E. Caproni  
United States District Judge  
Southern District of New York  
40 Foley Square  
New York, NY 10007

**Re: United States v. Daniel Rivas,  
17 Cr. 492 (VEC)**

USDC SDNY  
DOCUMENT  
ELECTRONICALLY FILED  
DOC #:  
DATE FILED: 10/31/2018

Dear Judge Caproni:

As the Court is aware, in August 2017, Daniel Rivas, the defendant in the above-captioned, matter pleaded guilty to securities fraud and related offenses, pursuant to a cooperation agreement. Rivas has been cooperating with the Government in its prosecution of *United States v. Michael Siva, et al.*, 17 Cr. 503 (AJN), a five defendant insider trading case pending before Judge Nathan. On October 18, 2018, the last of the five defendants (defendant Siva) pled guilty before Judge Nathan. Sentencing for Siva has been scheduled for February 8, 2019. Sentencing dates for the other four defendants are all scheduled prior to that date. The Government respectfully requests a sentencing date for defendant Rivas after February 8, 2019.

10/31/2018 - Application Granted. Sentencing scheduled for February 19, 2019, at 11:00 a.m.  
A presentence report will be prepared.  
SO ORDERED.

HON. VALERIE CAPRONI  
UNITED STATES DISTRICT JUDGE

Very Truly Yours,

GEOFFREY S. BERMAN  
United States Attorney

By:

Andrea M. Griswold  
Assistant United States Attorney  
(212) 637-1205